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Wednesday, 16 January 2013

## ASX Release

### Appendix 3Y forms

Cape Alumina Limited (ASX: CBX) releases the attached Appendix 3Y forms for the expiry of Performance Rights for Directors.

Yours sincerely,

A handwritten signature in blue ink, appearing to read "Scott Waddell".

**Scott Waddell, FCPA, ACIS**  
**Company Secretary**  
**Cape Alumina Limited**

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/9/2001.

<b>Name of entity</b>	<b>CAPE ALUMINA LIMITED</b>
<b>ABN</b>	<b>88 107 817 694</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Graeme Sherlock
<b>Date of last notice</b>	10 December 2012

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Direct Interest: A. Graeme Sherlock
<b>Date of change</b>	14 January 2013

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<p><b>Number of Securities held prior to change</b></p> <p><b>Name of holder &amp; nature of interest</b>  <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small></p> <p><b>Nature of Interest:</b></p>	<p><b>Number &amp; class of Securities</b></p> <p>A. 1,630,000 fully paid ordinary shares</p> <p>A. Up to 500,000 Performance Rights convertible into ordinary shares in the capital of Cape Alumina on a one for one basis. Performance Rights will vest on 24 June 2013.</p> <p>A. Up to 508,268 Performance Rights convertible into ordinary shares in the capital of Cape Alumina on a one for one basis. 50% of the Performance Rights will vest on 31 December 2013 and the balance of 50% will vest on 31 December 2014.</p> <p>A. Up to 788,275 Performance Rights convertible into ordinary shares in the capital of Cape Alumina on a one for one basis. 50% of the Performance Rights will vest on 7 July 2014 and the balance of 50% will vest on 7 July 2015.</p>
<p><b>Class</b></p>	<p>2012 Performance Rights</p>
<p><b>Number acquired</b></p>	<p>Nil</p>
<p><b>Number disposed</b></p>	<p>254,134</p>
<p><b>Value/Consideration</b>  <small>Note: If consideration is non-cash, provide details and estimated valuation</small></p>	<p>Nil Consideration.</p>

+ See chapter 19 for defined terms.

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<p><b>No. of securities held after change</b> <b>Name of holder &amp; nature of interest</b> Note: Provide details of the circumstances giving rise to the relevant interest.</p> <p><b>Nature of Interest:</b></p>	<p><b>Number &amp; class of Securities</b></p> <p>A. 1,630,000 fully paid ordinary shares</p> <p>A. Up to 500,000 Performance Rights convertible into ordinary shares in the capital of Cape Alumina on a one for one basis. Performance Rights will vest on 24 June 2013.</p> <p>B. Up to 254,134 Performance Rights convertible into ordinary shares in the capital of Cape Alumina on a one for one basis. 50% of the Performance Rights will vest on 31 December 2013 and the balance of 50% will vest on 31 December 2014.</p> <p>B. Up to 788,275 Performance Rights convertible into ordinary shares in the capital of Cape Alumina on a one for one basis. 50% of the Performance Rights will vest on 7 July 2014 and the balance of 50% will vest on 7 July 2015.</p>
<p><b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</p>	<p>Expiry of 2012 Performance Rights</p>

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

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**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	
<b>Nature of interest</b>	
<b>Name of registered holder (if issued securities)</b>	
<b>Date of change</b>	
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	
<b>Interest acquired</b>	
<b>Interest disposed</b>	
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	
<b>Interest after change</b>	

**Part 3 – +Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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+ See chapter 19 for defined terms.

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/9/2001.

<b>Name of entity</b>	<b>CAPE ALUMINA LIMITED</b>
<b>ABN</b>	<b>88 107 817 694</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	George Alfred Lloyd
<b>Date of last notice</b>	12 June 2012

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Direct Interest held by: A. George Alfred Lloyd  Indirect Interest held by: B. Held by Jojeto Pty Ltd ACN 001 696 439 as trustee for the Lloyd Superannuation Fund  C. Held by Jojeto Pty Ltd ACN 001 696 439 as trustee for the Lloyd Family Trust  Ordinary shares and options held through individual and company of which Director and Shareholder. George Alfred Lloyd is a director & shareholder of Jojeto Pty Ltd.
<b>Date of change</b>	14 January 2013

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<p><b>Number of Securities held prior to change</b></p> <p><b>Name of holder &amp; nature of interest</b></p>	<p><b>Number &amp; class of Securities</b></p> <p>A. Up to 211,779 Performance Rights convertible into ordinary shares in the capital of Cape Alumina on a one for one basis. 50% of the Performance Rights will vest on 31 December 2013 and the balance of 50% will vest on 31 December 2014.</p> <p>B. 218,766 fully paid ordinary shares</p> <p>C. 20,000 fully paid ordinary shares</p>
<p><b>Class</b></p>	<p>2012 Performance Rights</p>
<p><b>Number acquired</b></p>	<p>Nil</p>
<p><b>Number disposed</b></p>	<p>211,779</p>
<p><b>Value/Consideration</b>  <small>Note: If consideration is non-cash, provide details and estimated valuation</small></p>	<p>Nil</p>
<p><b>No. of securities held after change</b></p> <p><b>Name of holder &amp; nature of interest</b></p>	<p><b>Number &amp; class of Securities</b></p> <p>B. 218,766 fully paid ordinary shares</p> <p>C. 20,000 fully paid ordinary shares</p>
<p><b>Nature of change</b>  <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small></p>	<p>Expiry of 2012 Performance Rights</p>

+ See chapter 19 for defined terms.

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	
<b>Nature of interest</b>	
<b>Name of registered holder (if issued securities)</b>	
<b>Date of change</b>	
<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	
<b>Interest acquired</b>	
<b>Interest disposed</b>	
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	
<b>Interest after change</b>	

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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## Change of Director's Interest Notice

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Introduced 30/9/2001.

<b>Name of entity</b>	<b>CAPE ALUMINA LIMITED</b>
<b>ABN</b>	<b>88 107 817 694</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Ronald Carl Fritschy
<b>Date of last notice</b>	29 May 2012

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	- Beneficial interest in ordinary shares held as trustee for the Superannuation Fund - Noelene A Fritschy is the spouse of Ronald Carl Fritschy
<b>Date of change</b>	14 January 2013

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<p><b>Number of Securities held prior to change</b></p> <p><b>Name of holder &amp; nature of interest</b>  <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small></p> <p>Held by Ronald Carl Fritschy:</p> <p>Held by Noelene A Fritschy</p> <p>Ronald Carl Fritschy and Noelene A Fritschy as trustees for the Superannuation Fund:</p> <p>Held by Ronald Carl Fritschy:</p>	<p><b>Number &amp; class of Securities</b></p> <p>104,000 fully paid ordinary shares</p> <p>4,000 fully paid ordinary shares</p> <p>4,000 fully paid ordinary shares</p> <p>Up to 103,619 Performance Rights convertible into ordinary shares in the capital of Cape Alumina on a one for one basis. 50% of the Performance Rights will vest on 31 December 2013 and the balance of 50% will vest on 31 December 2014.</p>
<p><b>Class</b></p>	<p>2012 Performance Rights</p>
<p><b>Number acquired</b></p>	<p>Nil</p>
<p><b>Number disposed</b></p>	<p>103,619</p>
<p><b>Value/Consideration</b>  <small>Note: If consideration is non-cash, provide details and estimated valuation</small></p>	<p>Nil</p>
<p><b>No. of securities held after change</b></p> <p><b>Name of holder &amp; nature of interest</b>  <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small></p> <p>Held by Ronald Carl Fritschy:</p> <p>Held by Noelene A Fritschy</p> <p>Ronald Carl Fritschy and Noelene A Fritschy as trustees for the Superannuation Fund:</p>	<p><b>Number &amp; class of Securities</b></p> <p>104,000 fully paid ordinary shares</p> <p>4,000 fully paid ordinary shares</p> <p>4,000 fully paid ordinary shares</p>
<p><b>Nature of change</b>  <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small></p>	<p>Expiry of 2012 Performance Rights</p>

+ See chapter 19 for defined terms.

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	
<b>Nature of interest</b>	
<b>Name of registered holder (if issued securities)</b>	
<b>Date of change</b>	
<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	
<b>Interest acquired</b>	
<b>Interest disposed</b>	
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	
<b>Interest after change</b>	

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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Introduced 30/9/2001.

<b>Name of entity</b>	<b>CAPE ALUMINA LIMITED</b>
<b>ABN</b>	<b>88 107 817 694</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Peter Nicholson
<b>Date of last notice</b>	1 February 2012

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	
<b>Date of change</b>	14 January 2013

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<p><b>Number of Securities held prior to change</b></p> <p><b>Name of holder &amp; nature of interest</b>  <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small></p> <p>Held by Peter Nicholson:</p> <p><b>Nature of Interest:</b></p>	<p><b>Number &amp; class of Securities</b></p> <p>Up to 101,654 Performance Rights convertible into ordinary shares in the capital of Cape Alumina on a one for one basis. 50% of the Performance Rights will vest on 31 December 2013 and the balance of 50% will vest on 31 December 2014.</p>
<p><b>Class</b></p>	<p>2012 Performance Rights</p>
<p><b>Number acquired</b></p>	<p>Nil</p>
<p><b>Number disposed</b></p>	<p>101,654</p>
<p><b>Value/Consideration</b>  <small>Note: If consideration is non-cash, provide details and estimated valuation</small></p>	<p>Nil</p>
<p><b>No. of securities held after change</b></p> <p><b>Name of holder &amp; nature of interest</b>  <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small></p>	<p><b>Number &amp; class of Securities</b></p>
<p><b>Nature of change</b>  <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small></p>	<p>Expiry of 2012 Performance Rights</p>

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<p><b>Detail of contract</b></p>	
<p><b>Nature of interest</b></p>	
<p><b>Name of registered holder (if issued securities)</b></p>	
<p><b>Date of change</b></p>	

+ See chapter 19 for defined terms.

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<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	
<b>Interest acquired</b>	
<b>Interest disposed</b>	
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	
<b>Interest after change</b>	

**Part 3 – +Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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+ See chapter 19 for defined terms.